



1 July 2015

Jill Hewitt  
Senior Adviser, Listings Compliance (Perth)  
ASX Compliance Pty Ltd  
Level 40, Central Park  
152-158 St Georges Terrace  
Perth WA 6000

via email

Dear Jill,

**3Y Lodgement query**

I respond to your letter of 1 July as follows:

1. The appendix 3y's were lodged late as a result of a clerical error.
2. Directors are aware the Company is to be informed immediately of any changes to their interests in the Company.
3. The current arrangements are adequate.

Yours Sincerely

A handwritten signature in black ink, appearing to read "Gary Dunlop".

**Gary Dunlop**  
**Company Secretary**  
**Amex Resources Limited**



1 July 2015

Mr G Dunlop  
Company Secretary  
Amex Resources Limited  
22 Emerald Terrace  
WEST PERTH WA 6005

Dear Mr Dunlop

**Amex Resources Ltd (the “Company”)**

We refer to the following:

1. The Company’s announcements lodged with ASX Ltd (“ASX”) on 24 June 2015 regarding a change of director’s interest notices for Yibo Qiu and Matthew Collard (“Appendices 3Y”).
2. Listing rule 3.19A which requires an entity to tell ASX the following:
  - 3.19A.1 The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the following times:
    - On the date that the entity is admitted to the official list.
    - On the date that a director is appointed.The entity must complete an Appendix 3X and give it to ASX no more than 5 business days after the entity’s admission or a director’s appointment.
  - 3.19A.2 A change to a notifiable interest of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust). The entity must complete an Appendix 3Y and give it to ASX no more than 5 business days after the change occurs.
  - 3.19A.3 The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the date that the director ceases to be a director. The entity must complete an Appendix 3Z and give it to ASX no more than 5 business days after the director ceases to be a director.
3. Listing rule 3.19B which states as follows.

An entity must make such arrangements as are necessary with a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) to ensure that the director discloses to the entity all the information required by the entity to give ASX completed Appendices 3X, 3Y and 3Z within the time period allowed by listing rule 3.19.A. The entity must enforce the arrangements with the director.

4. The Companies Update dated 27 June 2008, reminding listed entities of their obligation to notify ASX within 5 business days of the notifiable interests in securities held by each director and outlining the action that ASX would take in relation to breaches of listings rules 3.19A and 3.19B.

As the Appendices indicated the change occurred for Yibo Qiu on 10 June 2015 and the change for Matthew Collard occurred on 12 June 2015 the Appendices should have been lodged with the ASX by 17 June 2015 and 19 June 2015 respectively.. As the Appendices were lodged on 25 June 2015, it appears that the Company may be in breach of listing rules 3.19A and/or 3.19B.

Please note that ASX is required to record details of breaches of the listing rules by listed companies for its reporting requirements.

ASX reminds the Company of its contract with ASX to comply with the listing rules. In the circumstances ASX considers that it is appropriate that the Company make necessary arrangements to ensure there is not a reoccurrence of a breach of the listing rules.

Having regard to listing rules 3.19A and 3.19B and Guidance Note 22: "Director Disclosure of Interests and Transactions in Securities - Obligations of Listed Entities", we ask that you answer each of the following questions.

1. Please explain why the Appendix 3X was lodged late.
2. What arrangements does the Company have in place with its directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A?
3. If the current arrangements are inadequate or not being enforced, what additional steps does the Company intend to take to ensure compliance with listing rule 3.19B?

Your response should be sent to me by e-mail to [jill.hewitt@asx.com.au](mailto:jill.hewitt@asx.com.au). It should not be sent to the Market Announcements Office.

A response is requested as soon as possible and, in any event, not later than **9:00AM WST on Monday, 6 July 2015**.

Under listing rule 18.7A, a copy of this query and your response will be released to the market, so your response should be in a form suitable for release and must separately address each of the questions asked. If you have any queries or concerns, please contact me immediately.

Yours sincerely

*[sent electronically without signature]*

Jill Hewitt  
**Senior Adviser, Listings Compliance (Perth)**