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## Facsimile

To:	Company Announcements Platform	From	Jacqueline Verhaeg Cooper Investors
Company:	ASX	Date:	27 August 2015
Facsimile:	1300 135 638	Pages:	5 (including this one)
Re:	Form 603 – Notice of initial substantial holder		

Dear Sir/Madam,

I attach a completed Form 603; Notice of initial substantial holder, completed by Cooper Investors Pty Limited, in accordance with Section 671B of the Corporations Act 2001, in relation to shares in Sims Metal Management.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'Jacqueline Verhaeg', written over a circular scribble.

Jacqueline Verhaeg  
Compliance Manager

## Form 603

Corporations Act 2001  
Section 671B

### Notice of initial substantial holder

To Company Name/Scheme Sims Metal Management Limited

ACN/ARSN 114 838 630

#### 1. Details of substantial holder (1)

Name Cooper Investors Pty Limited

ACN/ARSN (if applicable) 100 409 890

The holder became a substantial holder on 26 / 08 / 2015

#### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary	10,301,426	10,301,426	5.01559%

#### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
See Annexure B		

#### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
See Annexure B			

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-Cash	
Cooper Investors Pty Ltd	26/08/2015	See Annexure A		

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Cooper Investors Pty Limited	Level 1, 60 Collins Street, Melbourne VIC 3000

**Signature**

print name Stephen Thompson

Capacity: Director

sign here



date 26/08/2015

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

## SGM 26.08.15 ANNEXURE A

Trade Date	Ticker	Security	Quantity	Price	Amount	Curr
24/10/2013	SGM	Sims Metal Mgmt	151,600	10.03	1,520,548.00	AUD
25/10/2013	SGM	Sims Metal Mgmt	150,000	10.12	1,518,000.00	AUD
30/10/2013	SGM	Sims Metal Mgmt	500,000	10	5,000,000.00	AUD
30/10/2013	SGM	Sims Metal Mgmt	300,000	10.01	3,003,000.00	AUD
1/11/2013	SGM	Sims Metal Mgmt	300,000	10	3,000,000.00	AUD
26/11/2013	SGM	Sims Metal Mgmt	200,000	10.47	2,094,000.00	AUD
27/11/2013	SGM	Sims Metal Mgmt	200,000	10.38	2,076,000.00	AUD
28/11/2013	SGM	Sims Metal Mgmt	250,000	10.39	2,597,500.00	AUD
3/12/2013	SGM	Sims Metal Mgmt	27,110	10.2	276,522.00	AUD
3/12/2013	SGM	Sims Metal Mgmt	14,227	10.2	145,115.40	AUD
3/12/2013	SGM	Sims Metal Mgmt	-27,110	10.2	276,522.00	AUD
3/12/2013	SGM	Sims Metal Mgmt	-14,227	10.2	145,115.40	AUD
11/12/2013	SGM	Sims Metal Mgmt	121,359	9.68	1,174,755.12	AUD
11/12/2013	SGM	Sims Metal Mgmt	-4,073	9.7	39,508.10	AUD
12/12/2013	SGM	Sims Metal Mgmt	200,000	9.73	1,946,000.00	AUD
13/12/2013	SGM	Sims Metal Mgmt	143,409	9.77	1,401,105.93	AUD
16/12/2013	SGM	Sims Metal Mgmt	101,532	9.79	993,998.28	AUD
17/12/2013	SGM	Sims Metal Mgmt	55,059	9.93	546,735.87	AUD
18/12/2013	SGM	Sims Metal Mgmt	105,263	9.92	1,044,208.96	AUD
18/12/2013	SGM	Sims Metal Mgmt	4,121	9.93	40,921.53	AUD
19/12/2013	SGM	Sims Metal Mgmt	50,000	10.25	512,500.00	AUD
14/01/2014	SGM	Sims Metal Mgmt	-1,524	10.24	15,605.76	AUD
11/02/2014	SGM	Sims Metal Mgmt	-17,538	10.04	176,081.52	AUD
20/02/2014	SGM	Sims Metal Mgmt	-2,946	9.94	29,283.24	AUD
3/03/2014	SGM	Sims Metal Mgmt	-5,208	9.6	49,996.80	AUD
11/03/2014	SGM	Sims Metal Mgmt	4,822	9.59	46,242.98	AUD
11/03/2014	SGM	Sims Metal Mgmt	2,779	9.59	26,650.61	AUD
11/03/2014	SGM	Sims Metal Mgmt	-4,822	9.59	46,242.98	AUD
13/03/2014	SGM	Sims Metal Mgmt	-1,381	9.7	13,395.70	AUD
31/03/2014	SGM	Sims Metal Mgmt	-4,039	9.79	39,541.81	AUD
14/04/2014	SGM	Sims Metal Mgmt	-2,017	9.75	19,665.75	AUD
17/04/2014	SGM	Sims Metal Mgmt	-24,200	9.91	239,822.00	AUD
22/04/2014	SGM	Sims Metal Mgmt	-2,755	9.88	27,219.40	AUD
23/04/2014	SGM	Sims Metal Mgmt	14,559	9.87	143,697.33	AUD
29/04/2014	SGM	Sims Metal Mgmt	-1,594	9.67	15,413.98	AUD
30/04/2014	SGM	Sims Metal Mgmt	-6,688	9.79	65,475.52	AUD
13/05/2014	SGM	Sims Metal Mgmt	-685	9.49	6,500.65	AUD
15/05/2014	SGM	Sims Metal Mgmt	34,982	9.44	330,230.08	AUD
16/05/2014	SGM	Sims Metal Mgmt	700,000	9.41	6,587,000.00	AUD
19/05/2014	SGM	Sims Metal Mgmt	124,390	9.27	1,153,095.30	AUD
20/05/2014	SGM	Sims Metal Mgmt	100,000	9.34	934,000.00	AUD
21/05/2014	SGM	Sims Metal Mgmt	192,056	9.32	1,789,961.92	AUD
22/05/2014	SGM	Sims Metal Mgmt	151,337	9.36	1,416,514.32	AUD
23/05/2014	SGM	Sims Metal Mgmt	138,561	9.46	1,310,787.06	AUD
27/05/2014	SGM	Sims Metal Mgmt	4,231	9.62	40,702.22	AUD
27/05/2014	SGM	Sims Metal Mgmt	-4,231	9.59	40,575.29	AUD
4/06/2014	SGM	Sims Metal Mgmt	-6,398	9.71	62,124.58	AUD
2/07/2014	SGM	Sims Metal Mgmt	2,946	9.66	28,458.36	AUD
15/07/2014	SGM	Sims Metal Mgmt	-4,617	9.94	45,892.98	AUD
18/07/2014	SGM	Sims Metal Mgmt	-4,841	10.04	48,603.64	AUD
28/07/2014	SGM	Sims Metal Mgmt	139,379	11.12	1,549,894.48	AUD
29/07/2014	SGM	Sims Metal Mgmt	175,717	11.3	1,985,602.10	AUD
30/07/2014	SGM	Sims Metal Mgmt	184,904	11.64	2,152,282.56	AUD
31/07/2014	SGM	Sims Metal Mgmt	300,000	11.88	3,564,000.00	AUD
1/08/2014	SGM	Sims Metal Mgmt	163,043	11.82	1,927,168.26	AUD
4/08/2014	SGM	Sims Metal Mgmt	136,957	12.08	1,654,440.56	AUD
11/08/2014	SGM	Sims Metal Mgmt	-5,856	11.75	68,808.00	AUD
12/08/2014	SGM	Sims Metal Mgmt	-7,687	11.81	90,783.47	AUD
13/08/2014	SGM	Sims Metal Mgmt	-22,622	11.8	266,939.60	AUD
19/08/2014	SGM	Sims Metal Mgmt	-4,111	12.2	50,154.20	AUD
22/08/2014	SGM	Sims Metal Mgmt	308,374	11.96	3,688,153.04	AUD
25/08/2014	SGM	Sims Metal Mgmt	300,000	11.79	3,537,000.00	AUD
27/08/2014	SGM	Sims Metal Mgmt	150,000	12.19	1,828,500.00	AUD
28/08/2014	SGM	Sims Metal Mgmt	100,000	12	1,200,000.00	AUD
29/08/2014	SGM	Sims Metal Mgmt	100,764	11.99	1,208,160.36	AUD
9/09/2014	SGM	Sims Metal Mgmt	10,231	11.95	122,260.45	AUD
17/09/2014	SGM	Sims Metal Mgmt	842	11.72	9,868.24	AUD
18/09/2014	SGM	Sims Metal Mgmt	91,316	11.73	1,071,136.68	AUD
30/09/2014	SGM	Sims Metal Mgmt	-6,205	11.21	69,558.05	AUD
17/10/2014	SGM	Sims Metal Mgmt	223,742	10.7	2,394,039.40	AUD
17/10/2014	SGM	Sims Metal Mgmt	4,881	10.53	51,396.93	AUD
17/10/2014	SGM	Sims Metal Mgmt	-223,742	10.7	2,394,039.40	AUD
28/10/2014	SGM	Sims Metal Mgmt	826	10.63	8,780.38	AUD
14/11/2014	SGM	Sims Metal Mgmt	4,404	10.95	48,223.80	AUD
1/12/2014	SGM	Sims Metal Mgmt	92,476	10.62	982,095.12	AUD
1/12/2014	SGM	Sims Metal Mgmt	-92,476	10.62	982,095.12	AUD
9/12/2014	SGM	Sims Metal Mgmt	39,942	11.12	444,155.04	AUD
10/12/2014	SGM	Sims Metal Mgmt	-12,820	11.18	143,327.60	AUD
16/12/2014	SGM	Sims Metal Mgmt	227,224	10.81	2,456,291.44	AUD
16/12/2014	SGM	Sims Metal Mgmt	41,323	10.82	447,114.86	AUD
17/12/2014	SGM	Sims Metal Mgmt	219,117	10.89	2,386,184.13	AUD
18/12/2014	SGM	Sims Metal Mgmt	51,087	11.09	566,554.83	AUD
12/01/2015	SGM	Sims Metal Mgmt	15,241	11.68	178,014.88	AUD

12/01/2015 SGM	Sims Metal Mgmt	-15,241	11.68	178,014.88	AUD
14/01/2015 SGM	Sims Metal Mgmt	169,395	11.15	1,888,754.25	AUD
15/01/2015 SGM	Sims Metal Mgmt	250,000	11.02	2,755,000.00	AUD
19/01/2015 SGM	Sims Metal Mgmt	200,000	10.77	2,154,000.00	AUD
21/01/2015 SGM	Sims Metal Mgmt	175,401	10.96	1,922,394.96	AUD
22/01/2015 SGM	Sims Metal Mgmt	250,000	11.08	2,770,000.00	AUD
28/01/2015 SGM	Sims Metal Mgmt	64,212	11.07	710,826.84	AUD
29/01/2015 SGM	Sims Metal Mgmt	185,788	11.14	2,069,678.32	AUD
16/02/2015 SGM	Sims Metal Mgmt	134,021	11.97	1,604,231.37	AUD
17/02/2015 SGM	Sims Metal Mgmt	75,000	11.92	894,000.00	AUD
18/02/2015 SGM	Sims Metal Mgmt	75,000	11.98	898,500.00	AUD
19/02/2015 SGM	Sims Metal Mgmt	300,000	11.88	3,564,000.00	AUD
5/03/2015 SGM	Sims Metal Mgmt	119,115	12.48	1,486,555.20	AUD
6/03/2015 SGM	Sims Metal Mgmt	137,982	12.73	1,756,510.86	AUD
26/03/2015 SGM	Sims Metal Mgmt	-78,883	12.47	983,671.01	AUD
2/04/2015 SGM	Sims Metal Mgmt	-6,608	11.66	77,049.28	AUD
22/04/2015 SGM	Sims Metal Mgmt	71,047	11.19	795,015.93	AUD
28/04/2015 SGM	Sims Metal Mgmt	-7,017	11.55	81,046.35	AUD
30/04/2015 SGM	Sims Metal Mgmt	106,531	10.78	1,148,404.18	AUD
30/04/2015 SGM	Sims Metal Mgmt	7,580	10.74	81,409.20	AUD
5/05/2015 SGM	Sims Metal Mgmt	29,871	10.9	325,593.90	AUD
15/05/2015 SGM	Sims Metal Mgmt	7,464	10.45	77,998.80	AUD
19/05/2015 SGM	Sims Metal Mgmt	36,125	10.85	391,956.25	AUD
20/05/2015 SGM	Sims Metal Mgmt	103,291	10.82	1,117,608.62	AUD
21/05/2015 SGM	Sims Metal Mgmt	190,957	10.86	2,073,793.02	AUD
22/05/2015 SGM	Sims Metal Mgmt	169,627	11.02	1,869,289.54	AUD
26/05/2015 SGM	Sims Metal Mgmt	-912	11.22	10,232.64	AUD
11/06/2015 SGM	Sims Metal Mgmt	5,791	11.03	63,874.73	AUD
18/06/2015 SGM	Sims Metal Mgmt	-8,207	11.03	90,523.21	AUD
10/08/2015 SGM	Sims Metal Mgmt	-9,373	9.32	87,356.36	AUD
18/08/2015 SGM	Sims Metal Mgmt	-54,560	9.26	505,225.60	AUD
20/08/2015 SGM	Sims Metal Mgmt	28,789	9.38	270,040.82	AUD
24/08/2015 SGM	Sims Metal Mgmt	60,037	10.44	626,786.28	AUD
25/08/2015 SGM	Sims Metal Mgmt	279,074	10.62	2,963,765.86	AUD
26/08/2015 SGM	Sims Metal Mgmt	340,409	10.94	3,724,074.46	AUD
		<u>10,301,426</u>			

**SGM 26/08/15 ANNEXURE B**

Holder of Relevant Interest	Registered Holder of Securities	Persons entitled to be registered as holder	Nature of Relevant Interest	Number of Securities	Persons votes
Cooper Investors Pty Ltd	National Nominees Ltd	National Nominees Ltd	Investment Manager	4,453,418.00	4,453,418.00
Cooper Investors Pty Ltd	JP Morgan Nominees Australia Ltd	JP Morgan Nominees Australia Ltd	Investment Manager	2,172,454.00	2,172,454.00
Cooper Investors Pty Ltd	State Street Australia Limited	State Street Australia Limited	Investment Manager	2,541,014.00	2,541,014.00
Cooper Investors Pty Ltd	RBC Global Services Australia Limited	RBC Global Services Australia Limited	Investment Manager	415,273.00	415,273.00
Cooper Investors Pty Ltd	Citi Group	Citi Group	Investment Manager	88,933.00	88,933.00
Cooper Investors Pty Ltd	BNP Paribas	BNP Paribas	Investment Manager	630,334.00	630,334.00
<b>Total holdings</b>				<b>10,301,426.00</b>	<b>10,301,426.00</b>

**Total Shares Outstanding**

**205,388,050.00**

**5.01559%**

**Percentage holding**

**Total Shares Outstanding**