

**Form 604**Corporations Act 2001  
Section 671B**Notice of change of interests of substantial holder**To Company Name/Scheme Aura Energy LimitedACN/ARSN 62115927681**1. Details of substantial holder(1)**Name Pre-Emptive Trading Pty LtdACN/ARSN (if applicable) 47111948451There was a change in the interests of the  
substantial holder on28/09/2016

The previous notice was given to the company on

20/08/2015

The previous notice was dated

20/08/2015**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Full paid ordinary shares	23,950,000	7.15%	35,700,000	5.02%

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
28/09/16	Pre-Emptive Trading Pty Ltd	On market + 3B	see attached	11,750,000	11,750,000

**4. Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Pre-Emptive	as previous	Pre-Emptive	buy on market + 3B	35,700,000	5.02%
Trading		Trading Pty Ltd			
Pty Ltd					

**5. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

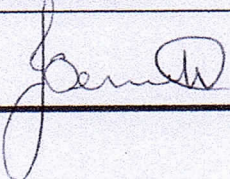
Name and ACN/ARSN (if applicable)	Nature of association

**6. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Pre-Emptive Trading Pty Ltd	345 Gorge Road Athelstone SA 5076

**Signature**

print name **John Bennett** capacity **Director**  
 sign here  date **08 / 10 / 15**

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg, a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg, if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

# GUIDE

**This guide does not form part of the prescribed form and is included by ASIC to assist you in completing and lodging form 604.**

**Signature** This form must be signed by either a director or a secretary of the substantial holder.

**Lodging period** Nil

**Lodging Fee** Nil

**Other forms to be completed** Nil

## Additional information

- (a) If additional space is required to complete a question, the information may be included on a separate piece of paper annexed to the form.
- (b) This notice must be given to a listed company, or the responsible entity for a listed managed investment scheme. A copy of this notice must also be given to each relevant securities exchange.
- (c) The person must give a copy of this notice:
  - (i) within 2 business days after they become aware of the information; or
  - (ii) by 9.30 am on the next trading day of the relevant securities exchange after they become aware of the information if:
    - (A) a takeover bid is made for voting shares in the company or voting interests in the scheme; and
    - (B) the person becomes aware of the information during the bid period.

## Annexures

To make any annexure conform to the regulations, you must

- 1 use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides
- 2 show the corporation name and ACN or ARBN
- 3 number the pages consecutively
- 4 print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied
- 5 identify the annexure with a mark such as A, B, C, etc
- 6 endorse the annexure with the words:  
*This is annexure (mark) of (number) pages referred to in form (form number and title)*
- 7 sign and date the annexure  
The annexure must be signed by the same person(s) who signed the form.

### 3. Change in relevant interest

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration in relation to change (7)	Class and number of securities effected	Personal votes effected
25/08/15	Pre-Emptive Trading Pty Ltd	Buy on market	\$900.00	50000	50000
08/10/15	Pre-Emptive Trading Pty Ltd	Buy on market	\$570.00	30000	30000
20/10/15	Pre-Emptive Trading Pty Ltd	Buy on market	\$513.50	28000	28000
09/11/15	Pre-Emptive Trading Pty Ltd	Sell on market	\$986.00	58000	58000
15/12/15	Pre-Emptive Trading Pty Ltd	Buy on market	\$2,800.00	200000	200000
15/12/15	Pre-Emptive Trading Pty Ltd	Buy on market	\$525.00	35000	35000
21/12/15	Pre-Emptive Trading Pty Ltd	Buy on market	\$536.79	35000	35000
22/12/15	Pre-Emptive Trading Pty Ltd	Buy on market	\$563.45	35000	35000
25/01/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$2,072.18	148013	148013
28/01/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$657.82	46987	46987
18/02/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$2,100.00	100000	100000
25/02/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$1,650.00	100000	100000
02/03/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$1,550.00	100000	100000
09/03/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$2,800.00	200000	200000
18/04/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$36,130.83	2400000	2400000
19/04/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$15,000.00	1000000	1000000
20/04/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$5,442.40	340150	340150

20/04/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$6,040.00	400000	400000
27/06/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$3,600.00	200000	200000
04/07/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$16,155.30	700000	700000
04/07/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$8,140.00	370000	370000
04/07/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$13,800.00	690000	690000
04/07/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$9,000.00	450000	450000
04/07/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$34,882.42	1835917	1835917
05/07/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$31,807.58	1674083	1674083
06/07/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$2,700.00	150000	150000
25/07/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$227.98	11999	11999
01/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$2,112.02	88001	88001
09/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$3,150.00	150000	150000
10/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$2,100.00	100000	100000
11/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$1,000.00	50000	50000
12/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$2000.00	100000	100000
15/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$651.00	31000	31000
16/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$399.00	19000	19000
17/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$2,200.00	100000	100000
17/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$131.68	5725	5725
18/08/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$591.68	25725	25725
22/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$1,610.00	70000	70000

22/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$600.00	25000	25000
24/08/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$600.00	25000	25000
02/09/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$1,100.00	50000	50000
02/09/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$550.00	25000	25000
12/09/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$622.00	25000	25000
13/09/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$91,000.00	2600000	2600000
13/09/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$17,500.00	500000	500000
13/09/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$14,000.00	400000	400000
13/09/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$13,200.00	400000	400000
13/09/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$32,792.10	993700	993700
14/09/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$182.70	6300	6300
14/09/16	Pre-Emptive Trading Pty Ltd	Sell on market	\$1,450.00	50000	50000
26/09/16	Pre-Emptive Trading Pty Ltd	Buy on market	\$1,600.00	50000	50000